

To: rule-comments@sec.gov

Subject: File Number 4-670

Dear Secretary Murphy:

This is in response to the SEC invitation to submit comments for its December 5 staff roundtable on the use of proxy advisory firm services by institutional investors and investment advisers.

As a retail investor, I am concerned that retail shareowners have been left out of consideration even though we would also benefit from proxy advisory services, disclosure of votes by respected investors, and the ability to assign proxies to individuals or web-based exchanges. Please ensure roundtable recommendations facilitate, or at least do not block efforts to establish proxy advisor contests, Open Client Directed Voting (CDV) systems and proxy assignments.

Sincerely,